



Pooja Gupta

Principal Associate

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Practice Areas

- Compliance & Regulatory
- Corporate & Commercial
- Exchange Control & Foreign Direct Investment (FDI)
- Private Client Practice
- Startup Advisory
- Mergers & Acquisitions

Memberships

- Associate Member, Institute of Company Secretaries of India (ICSI)

Professional Profile

Pooja Gupta is a Principal Associate at KNM & Partners, bringing over 15 years of experience in corporate legal advisory, regulatory compliance, corporate governance, and corporate restructuring. A qualified Company Secretary and law graduate, Pooja has built a strong practice in advising domestic and foreign clients across sectors on company law, FEMA regulations, SEBI guidelines, and intellectual property rights.

She has extensive experience in handling complex matters before the National Company Law Tribunal (NCLT), including mergers, demergers, compounding of offences, shareholder disputes, and regulatory approvals. Pooja regularly advises Indian corporates, foreign subsidiaries, LLPs, Section 8 companies, and NGOs, assisting them in business structuring, share capital management, due diligence, and regulatory interactions with authorities like RoC, RBI, SEBI, DGFT, and Stamp Authorities.

Her expertise extends to FEMA & RBI compliance, including reporting under FC-GPR, FC-TRS, and downstream investments, and she is known for offering pragmatic, business-aligned legal solutions with seamless execution support.

Prior to joining KNM & Partners, Pooja was associated with reputed firms, where she gained extensive exposure in handling advisory, compliance, and dispute resolution portfolios for corporates and promoter groups.

Key Experience

- **NCLT & Company Law Matters**
 - Handled restructuring mandates through mergers, demergers, conversions, and share capital restructuring.

- Drafted and filed petitions for restoration, compounding of offences, adjudication of penalties, AGM extension, and condonation of delay under the Companies Act, 2013.
- **Corporate Compliance & Governance**
 - Advised on incorporation of Indian and foreign entities, LLPs, and Section 8 companies, along with post-incorporation compliances.
 - Managed annual filings (XBRL and non-XBRL), secretarial audits, and board compliance support.
 - Handled share allotments, buy-backs, ESOP plans, issuance of debentures, and amendments to MoA/AoA.
 - Assisted clients in drafting and negotiating Shareholders' Agreements, Joint Venture Agreements, and other commercial contracts.
- **FEMA & RBI Compliances**
 - Managed filings and compliances under FC-GPR, FC-TRS, DI reporting, and compounding applications.
 - Advised on downstream investment compliance and sectoral caps.
 - Coordinated with RBI and other regulatory authorities on foreign investment and share capital matters.
- **Secretarial Practice & Advisory**
 - Assisted clients in constitution of committees, maintaining statutory registers, drafting notices, resolutions, minutes, and convening board, general, and committee meetings (including via AVC mode).
 - Intellectual Property Rights & Ancillary Matters
 - Advised on trademark search, filings, oppositions, and legal correspondences.
 - Regularly liaises with MCA, DGFT, NCLT, and Stamp Authorities for regulatory and compliance matters.